DTD6 Rec'd - T/PTO 0 4 NOV 2002

Approvad for use

^ Please type a plus sign (+) inside this box → + U.S. Patent and Trademark Office: Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information ur

| PTO/SB/21 (08-00) e through 10/31/2002. OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE lless it displays a valid OMB control number. | # |
|--|---|
| US00/22226 | 0 |

| | | ٠ | Applic | ation Number | PCT/US0 | 0/22226 | |
|---|----------------------------------|--|--------------------|--|---|---------|--|
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Filing Date | | 08/11/00 | | | |
| | | First Named Inventor | | Stoddard, Barry L. | | | |
| | | Group Art Unit | | Not yet assigned | | | |
| | | Examiner Name | | Not yet assigned | | | |
| Total Number of Pages in This Submission | | Attorney Docket Number | | 14538A-005310US | | | |
| ENCLOSURES (check all that apply) | | | | | | | |
| Fee Transmittal F | orm | Assignment Papers (for an Application) | | pers | After Allowance Communication to Group | | |
| Fee Attached | | Drawing(s) | | | Appeal Communication to Board of Appeals and Interferences | | |
| Amendment / Rep | oly | Licensing-related Papers | | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | |
| After Final | | Petition | | | Proprietary Information | | |
| Affidavits/decl | aration(s) | Petition to Convert to a Provisional Application | | | Status Letter | | |
| Extension of Time | Request | Power of Attorney, Revocation Change of Correspondence Address | | | Other Enclosure(s) (please identify below): | | |
| Express Abandonment Request | | Termin | erminal Disclaimer | | Return Postcard | | |
| | | Reque | Request for Refund | | Declaration | | |
| Information Disclosure Statement | | | | Copy of No | otice to be returned | | |
| | | CD, Number of CD(s) | | Sequence Listing (Paper copy and computer readable copy) Communication and Preliminary Amendment | | | |
| Certified Copy of Priority Document(s) Rei | | Rema | rks | The Commissioner is a Deposit Account 20-14 | er is authorized to charge any additional fees to 20-1430. | | |
| Response to Missing Parts/ Incomplete Application | | | | I | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | | | |
| | SIGNAT | URE OF | APPLIC | ANT, ATTORNEY, OI | R AGENT | · | |
| Firm and | Townsend and Tow | nsend and | Crew LLF | · | | | |
| Individual name | William B. Kezer Reg. No. 37,369 | | | | | | |
| Signature | With | Late B. Kyn | | | | | |
| Date | 10/28/02 | | | | | | |
| | | CE | RTIFICA | TE OF MAILING | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 2023 | | | | | | | |
| Typed or printed name | e Jennifer K. Har | din | • | | | | |
| Signature Jemp L. Harci Date 10/28/02 | | | | | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



8th Floor

UNITED STATES PATENT AND TRADEMARK OFFICE

14538A-006310US Wit

Commissioner for Patents, Box PCT United States Patent and Trademark Office Washington, D.C. 20231

ATTY, DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. Barry L. Stoddard 10/049,399 14538A5310US INTERNATIONAL APPLICATION NO. PCT/US00/22226 MAY 2 0 2002 William B Kezer I.A. FILING DATE PRIORITY DATE Townsend and Townsend and Crew 08/11/2000 08/13/1999 ""SEND 2 Embarcadero Center

AND OFILE LEP

CONFIRMATION NO. 7642 371 FORMALITIES LETTER

OC000000007954830

Response Due w/24/03

11/5/M

Date Mailed: 04/26/2002

San Francisco, CA 94111

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Biochemical Sequence Listing
- · Copy of IPE Report
- Copy of the International Application
- Copy of the International Search Report
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

 Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

The following items MUST be furnished within the period set forth below:

• The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- APPLICANT MUST PROVIDE:
 - An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- For questions regarding compliance to 37 CFR 1.821-1.825 requirements, please contact:
 - For Rules Interpretation, call (703) 308-4216
 - To Purchase Patentin Software, call (703) 306-2600
 - For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov
 - A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

PAULETTE R KIDWELL

Telephone: (703) 305-3656

PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY, DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 10/049,399 | PCT/US00/22226 | 14538A5310US |

FORM PCT/DO/EO/905 (371 Formalities Notice)